

Key Corporate Risks

Ref	Risk Detail	Risk Owner	Impact	Gross Likelihood	Risk	Score	Impact	Net Likelihood	Risk	Score
0001	Corporate									Number of Risks: 13
0349	Job evaluation review	CMT	Major	Probable	High	20	Major	Probable	High	20
	<p><i>Job evaluation presents a whole raft of potential risks to the Council. These range from lawfulness issues (e.g. meeting statutory deadlines) and financial considerations (i.e. affordability) to employee relations problems (e.g. industrial action, demoralised workforce, recruitment and retention issues etc). A list of all identified risks, management controls and mitigation actions have been incorporated into the Council risk register.</i></p>									
	Controls			Owner	Effectiveness					
				01	Communication with staff & unions					Simon Wiles
	Actions			Action Owner	Due Date	Revised Date	Status			
				1	Conduct thorough impact assessment					Draft Issued
0351	Unsuccessful delivery of the administration accommodation review	Individual Director	Major	Possible	High	19	Major	Possible	High	19
	<p><i>Efficiencies and facilitation of cultural change through rationalisation to one-site operations. The project relies and has interdependencies with other programmes of work such as FMS replacement and easy@york. A project risk register is being used for management control and planned mitigation purposes.</i></p>									
	Actions			Action Owner	Due Date	Revised Date	Status			
				1	Effective management of multi-project interdependencies and constraints					Draft Issued
0352	Failure of Waste Management Strategy Partnership	Individual Director	Major	Possible	High	19	Major	Possible	High	19
	<p><i>Financial penalties of failing to manage satisfactory partnership solution to waste agenda. Partnership solution with NYCC introduces risks to the programme from NYCC perspective (control, breakdown of effective working, governance etc). Project risks of the partnership have been identified and are being managed by NYCC as the lead body.</i></p>									
0353	Implications of the forecast budget funding gap	CMT	Major	Possible	High	19	Major	Possible	High	19
	<p><i>Failure to set a balanced budget.</i></p> <p><i>Issues and risks associated with future funding pressures (failure to deliver statutory services, staff relations problems, on-going political pressures to sut Council infrastructure to reduce impact on front line delivery etc). All risks, controls and mitigation need to be fully documented incorporated into the risk register for management review and control.</i></p>									
0355	Failure to deliver Council Strategy	CMT	Moderate	Probable	Medium	15	Moderate	Probable	Medium	15
	<p><i>Public failure to achieve stated priorities, associated risks range from reputation issues, political embarrassment and CPA implications to lost opportunities to improve organisational performance across a coherent cultural and management change programme.</i></p>									

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				Likelihood	Risk	Score	Impact	Likelihood	Risk	
	Actions				Action Owner	Due Date	Revised Date	Status		
					1	Adequacy and consistency of planning and project- programme management framework.			Draft Issued	
					2	Organisational commitment			Draft Issued	
					3	Changing political priorities pre and post election			Draft Issued	
					4	Adequacy of the strategic planning framework and partnering implications.			Draft Issued	
0357	Failure of strategic procurement programme <i>There will be about 15 key strategic procurements set out in the new Strategic Procurement Programme (to be reported in January 2007). The overall risk is that the programme will not ensure the effective corporate management of strategic procurement at the Council. There are also a whole range of risks associated with the failure of departments to deliver any individual project. These risks will need to be identified and documented within the risk register for management control and mitigation purposes.</i>	Individual Director	Moderate	Possible	Medium	14	Moderate	Possible	Medium	14
0359	Turnover of key personnel and Members after May 2007 <i>Known loss of CEX, Director of LCCS, 2 AD's LCCS, others? New Director Chief Executive's and new Chief Executive. Potential change in political administration following the May 2007 elections.</i>	David Atkinson	Major	Possible	High	19	Major	Possible	High	19
0360	Failure to deliver Local Area Agreement (LAA) <i>Increasing adverse risks associated with failure further to the expectations set out in the White Paper. Felt to be higher risk issue also due to lack of commitment/investment in LSP and other partnerships by the organisation in the past.</i>	CMT	Moderate	Possible	Medium	14	Moderate	Possible	Medium	14
0361	Failure to maintain or improve the Comprehensive Performance Assessment (CPA) score in January 2008 <i>Reputational and possible future funding risks of scoring less than 'good' but an increased likelihood of such an outcome due to 'the haredr test', re-inspection of key blocks in 2007 that may not be sustained at 2002 level and failure to make sufficient improvements in organisational infrastructure/other issues marked down in previous years.</i>	CMT	Major	Probable	High	20	Major	Probable	High	20
0362	Failure to deliver Transformational Government <i>The Council has to respond to keep up with the hopes and aspirations of citizens and business, to remain efficient and trustworthy. The key risk is around the vision to deliver public services, using power of new technologies as this comes back to project & programme management areas of high risk within the Council.</i>	CMT	Major	Possible	High	19	Major	Possible	High	19
0365	Partnering - Regulation & Governance <i>Governance issues around the proper management of partnerships is not robust and leaves the Council open to a variety of potential problems and threats to the organisation. Implications for CPA UOR in 2006 and 2007 refresh exercises and knock on effect on the corporate CPA in 2008.</i>	CMT	Major	Possible	High	19	Major	Possible	High	19

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0393	Effective management of the election process <i>Risks arises due to over-reliance upon key individual in Civic Services who may not be available to manage the election process in May 2008.</i>	Heather Rice	Major	Possible	High	19	Major	Possible	High	19
0604	Failure to address BCP (Business Continuity Planning) <i>The Council has a duty to ensure the continuity of its services to residents and customers. Business Continuity Plans should act as mitigating controls capable of reducing the impact of specific risks such as fire, flood or loss of staff. The lack of these plans reduces the Council's ability to respond and increases the level of exposure to associated reputational risk.</i>	CMT/Director	Major	Possible	High	19	Major	Possible	High	19